

1 is significant, because a total of one-fourth of
2 all the mammo facilities in California have gone
3 out of business in the period of time that MQSA has
4 been in effect, so we have got literally thousands
5 and thousands of women whose mammograms are
6 basically in limbo.

7 I saw Dr. Finder sort of raising his
8 eyebrow there about the one-fourth. We started out
9 with 1,200 facilities. We are now down to 800
10 facilities that offer mammography.

11 MS. BUTLER: Penny Butler from American
12 College of Radiology. There have been some success
13 stories. We have received a number of phone calls
14 from consumers notifying us that their facilities
15 have closed and the ACR staff has worked with
16 tracking down various individuals at the facility,
17 sometimes even going through the physicists to find
18 out what may have happened, to find some contacts
19 and things like that, and we have been able to help
20 out some of the consumers, patients, retrieving
21 their old films.

22 Obviously, there are some situations where
23 we reach a dead end and we have been working very
24 closely with FDA and trying to take some additional
25 measures to help those individuals out.

1 In addition, I will be talking about this
2 a little bit later this afternoon, but when have
3 been notified about facilities closing, and we
4 follow up with a closure letter, we are also asking
5 for a contact person that we maintain in our
6 database, so that if consumers call us, we can
7 refer consumers to this individual to try to get
8 their old films. It is not 100 percent, but there
9 are steps that the various organizations have
10 taken.

11 DR. FINDER: I wanted to add to what Mr.
12 Bailey said about the bankruptcy in California. We
13 are aware of that situation. We have been dealing
14 with the bankruptcy court, and while it is true
15 that at the present time, those films are sitting
16 in a warehouse uncataloged, we have got the process
17 started or an agreement that all those films will
18 be cataloged and they will be made available to the
19 patients, so we have worked to deal with these
20 situations, so it is not totally bleak. Obviously,
21 it is a tough situation, but when we are aware of
22 these things, we try and deal with them to ensure
23 that the patients maintain access.

24 MS. HARVEY: I think that one of the
25 problems, we have had this problem in New York

1 also, is how long it takes--

2 DR. FINDER: Excuse me, sorry to
3 interrupt. I just want to add one thing. Those
4 were radiology facilities. Mammography was just a
5 part of it. So, we have actually been able to do
6 more for the mammography patients than a lot of the
7 other records that are being held by those places
8 where nobody is pushing to keep those, so I just
9 want to make that clear.

10 MS. HARVEY: One of the problems with this
11 is how long it takes and people are looking for
12 their films. They are not looking for them in six
13 months or two months, when you finish, they really
14 want them now, because they have a problem and they
15 are facing a biopsy without a comparative film or
16 whatever.

17 I certainly know that it is medical
18 misconduct in our State not to maintain your
19 records and to have them available. I don't know
20 whether or not any of the other States can look at
21 this, for the doctors that continue to practice in
22 some other realm in there.

23 Yes, Dr. Pisano.

24 DR. PISANO: I just want to comment on
25 that, the medical misconduct issue. I really feel

1 that many of these facilities that close down are
2 not necessarily administered by the radiologists,
3 so that is the physician in the loop. So, raising
4 whether they are guilty of medical misconduct, I
5 think that it is probably not the case that the
6 radiologists did anything wrong in the facility's
7 closure.

8 It probably had to do with financial
9 mismanagement and other issues, and it is almost
10 certainly the case that the radiologists, if he or
11 she were directly involved, would make sure the
12 patients got the images, but the problem is they
13 don't have control over it, and they certainly
14 don't have the financial wherewithal to take care
15 of it themselves.

16 I mean it is an administrative or business
17 issue, so I don't really think it would be
18 appropriate to punish the radiologists if this were
19 to happen, at least that is the way I feel about
20 it.

21 DR. FINDER: I would second that in the
22 sense that the problems that the problems that we
23 really had with facilities in these kind of
24 situations are where the radiologists are not the
25 owners and where you have got business type people,

1 and this is a business decision for them, and it is
2 easier for them to go into bankruptcy and deal with
3 it that way.

4 We have had situations where we are
5 talking with the owners and also the radiologists,
6 and the radiologists or the physicians are
7 involved, they are all trying to make sure that the
8 films are available, but they have no say in a lot
9 of these matters at this point, and once it goes
10 into bankruptcy court, nobody has any say except
11 the bankruptcy court, so it is not a very simple
12 situation.

13 MS. HARVEY: Dr. Barr.

14 DR. BARR: We have heard some really good
15 stuff from the State folks here, Ms. Harvey, Mr.
16 Camburn, and Mr. Bailey, and I think that this
17 might even be best attacked from a state level. We
18 are going to do all we can under MQSA to help
19 patients get their mammogram films, but I would
20 encourage the States who are here or anyone who can
21 proactively talk to their States, lobby their
22 States.

23 I think the State is going to be a big
24 piece here of solving this puzzle.

25 MS. HARVEY: We might have to look at like

1 our State business laws.

2 DR. FINDER: Again, I would add that while
3 we are talking about mammography films, that is a
4 small portion of what happens when one of these
5 places go out of business, and we can do what we
6 can for that, but I do think that it is probably
7 going to be up to the States to guarantee, or as
8 best they can, the availability of all the other
9 medical records that are involved.

10 The facilities around California, it turns
11 out were not just radiology facilities, they were
12 path laboratories, some pathology reports are
13 involved, and I hate to even say how many documents
14 and how many records they are talking about, but it
15 is a huge number, much more than just mammography
16 patients.

17 MS. HARVEY: Any more comments? All
18 right.

19 I think there is one last question. What
20 criteria will FDA use to determine that facilities
21 meet the MQSA requirements for infection control?

22 Essentially, there has been just an
23 addition of a line on one page. In those cases
24 where there has not been an episode of
25 contamination since the last inspection, the

1 facility should make that clear to the inspector.

2 Thank you. This will complete our morning
3 session. I will be hammering that gavel again at 1
4 o'clock.

5 [Whereupon, at 11:45 a.m., the proceedings
6 were recessed, to be resumed at 1:00 p.m.]

AFTERNOON PROCEEDINGS

[1:05 p.m.]

MS. HARVEY: Good afternoon. We are ready to start the afternoon session. Welcome back.

Our first speaker this afternoon is Nancy Wynne. She is going to talk to us about how satisfied the facilities are with our program.

Facility Satisfaction Survey**Nancy Wynne**

MS. WYNNE: I am Nancy Wynne, Chief of the Outreach and Compliance Branch. Today, I am going to give you a brief overview of the Facility Satisfaction Survey that we have recently just closed out the response dates on.

A little bit of background first, though. Many of you may know that in 1996, this committee recommended that DMQR administer a survey of mammography facilities to obtain facility opinions about the current inspection process.

The objective was to gather information about the existing MQSA inspection process as it was perceived by the facilities, identify problems or areas for improvement in the process.

The first Facility Satisfaction Survey was conducted in the spring of 1997. It was a

1 randomized sampling of about 1,000 facilities out
2 of approximately 10,000. There was a 65 percent
3 response rate, which according to the Office of
4 Management and Budget is a very good response rate.

5 Summary findings of the survey were
6 published in the summer of 1998. In that survey,
7 there were high levels of satisfaction with the
8 overall inspection process.

9 Last fall, we decided to conduct a
10 follow-up survey to see how we were doing with the
11 inspection process under the Final Regulations.
12 Using a computer-generated, randomized sampling, we
13 surveyed 10 percent of existing facilities, once
14 again about 1,000 facilities.

15 We used a contractor to conduct the
16 survey, and we maintained strict anonymity of the
17 facilities' identity. We had a very successful
18 response rate. This response rate this year was 74
19 percent. Most of the information came from the
20 radiologic technologists. Also, there was a fairly
21 representative spread or sample of the FDA regions.
22 The Central Region had the highest response
23 representation, about 37.6 percent.

24 The findings. Well, we only have
25 preliminary analysis at this point, but there were

1 generally high levels of satisfaction with the
2 overall inspection process. For example, regarding
3 the usefulness of publications and other resources,
4 first, the Internet, our mammography web site.

5 Even though 53 percent of the respondents
6 are aware that MQSA information and guidance is
7 published only on the web site, we found that of
8 those 60 percent of the respondents that stated
9 they did have access to the Internet at work, only
10 39 percent actually accessed our web site from
11 work.

12 Of the 80 percent of the respondents that
13 stated they have access to the Internet at home,
14 only 37 percent have actually accessed our web site
15 from home.

16 When asked if they had used the policy
17 guidance help system on FDA's mammography web site,
18 approximately 78 percent responded no. However, of
19 the 22 percent who did access and use the policy
20 guidance help system on the web site, a resounding
21 93 percent found it to be very useful.

22 Now, directly referable materials. By
23 this, I mean hardcopies of documents, such as
24 mammography matters, previous inspection handouts,
25 and other documents. This type of information

1 appears to be the most useful or perhaps the most
2 available, consequently, the most used.

3 Preparing for MQSA inspections was one of
4 the most referred to publications. There were 84
5 percent of the respondents that found it to be very
6 useful. This preliminary information on the
7 Internet web site versus hardcopy material
8 indicates that we should focus on how to encourage
9 facilities to use our web site to sign up for
10 notification of information by way of our listserv.

11 Now, regarding the actual inspection
12 process, we found that after notification, the
13 average time spent preparing for an inspection was
14 about 10 hours, however, only 10 percent of the
15 respondents responded that they felt this was
16 excessive.

17 Over half of the facilities indicated that
18 they had to reschedule appointments because of the
19 inspection, but they also stated that they had
20 adequate time to do so. The average number of
21 mammograms performed on a day when there was no
22 inspection was 21. On a day of inspection, the
23 average number of mammograms performed was 12. The
24 average number of hours to complete an inspection
25 was six hours.

1 When asked if the inspection was completed
2 within the expected time frame, 95 percent of the
3 facilities responded yes, and they were pleased
4 with the time frame. When asked to rate the
5 inspection process for the most recent inspection,
6 95 percent responded in the fair to excellent
7 categories, with 65 percent of those in the
8 excellent area.

9 Finally, when asked to compare the most
10 recent inspection to the previous inspection, 30
11 percent responded that the most recent inspection
12 was a better inspection. Even though the response
13 period for this survey is over, we continue to get
14 responses. While we can't factor these responses
15 into the report, it is interesting to note that the
16 latter responses are consistent with the positive
17 responses that we received earlier on.

18 Next steps. We have collected a great
19 deal of information and over the next few months we
20 are going to be working with our contractor and
21 statisticians to analyze the information and
22 determine its best use.

23 The in-depth analysis, as well as the
24 overall results of the survey, will allow DMQR to
25 target inspection process improvement, and to

1 varying degrees it is going to be in different
2 areas of the inspection process.

3 We will, of course be comparing this
4 survey results with the previous survey results.
5 We expect to have the final summary report on our
6 web site after the first of the year.

7 MS. HARVEY: Thank you. Any questions
8 comments?

9 Thank you.

10 Our next item on the agenda has to do with
11 mammography access issues, an area we are all
12 concerned about. Dr. Barr and Ms. Butler.

13 **Mammography Access Issues**

14 **Helen Barr, M.D.**

15 DR. BARR: On behalf of the Division, I
16 would like to extend my gratitude to you all for
17 being here today. I myself serve on an Advisory
18 Committee, and know what a chunk of time it is both
19 in the preparation and the actual attendance of the
20 meeting. John McCrohan, who is on travel and
21 couldn't be here, and I certainly appreciate the
22 dedication that you have to this process.

23 I am only going to briefly introduce the
24 topic of mammography access because to date we do
25 not have a lot of hard and fast data or numbers for

1 you, although I will tell you some things we are
2 working on.

3 We have all heard anecdotal reports of
4 long wait times for women to be able to schedule
5 screening mammography.

6 We have seen the headlines, for example,
7 "Need a mammogram? It could take a while. Delays
8 reach crisis levels as women wait up to five months
9 for a screening mammogram." That was Time magazine
10 in March of this year.

11 "Experts foresee crisis in access to
12 breast tests." That was The New York Times in
13 November of last year.

14 "As more women seek mammograms, many have
15 to wait months, low payments from insurers, influx
16 of patients put breast clinics in a bind." That
17 was The Wall Street Journal in the fall of last
18 year.

19 The House of Representatives and Senate
20 have also heard these anecdotal reports, seen the
21 headlines, and they have asked the Government
22 Accounting Office to look into the issue of
23 mammography access, and they are busily doing it at
24 the time, and we, along with I am sure many others,
25 are supplying them with information to use to look

1 at that issue.

2 We, in the Division, have also contracted
3 with a group to look at the question of mammography
4 access. Specifically, although they are going to
5 look at more than this aspect specifically, we
6 asked them to look at the question of even if the
7 numbers were to remain steady-state, is that enough
8 access in the aggregate to serve the current
9 population needs and the fact that women at a
10 younger age are seeking mammography screening, so
11 they are going to be looking at that for us.

12 It is interesting to note, I noted when
13 Ms. Wynne was up here, that she presented that the
14 average number of mammograms in the respondents to
15 our Facility Satisfaction Survey said that they did
16 on an average 21 mammograms a day. On the last
17 survey, that number was about 16 1/2.

18 Some very preliminary data coming in from
19 our contractors suggests that mammography
20 facilities, although maybe there is not as many of
21 them, have expanded their capacity to serve
22 patients over the years.

23 An analysis of our own database where we
24 keep track of the mammography facilities in the
25 United States shows that from 1996 to the present

1 time, there has been about a 2 percent decline in
2 the number of fully certified mammography
3 facilities across the country, which in and of
4 itself doesn't seem like a large number, but issues
5 like where the declines have been, for example, we
6 heard Mr. Bailey express some things about
7 California and the additional question of even if
8 we were to remain at that 2 percent less
9 facilities, is that enough for access.

10 Presently, we have about 9,548 facilities.
11 That was as of a few days ago. The number actually
12 changes a little bit every day.

13 We have been working closely with the ACR,
14 and in April of last year, they added some
15 additions to their closure memo, which Penny Butler
16 mentioned when she was up here before, and they
17 have begun to collect information about why
18 facilities are closing.

19 Again, we hear anecdotal reports anywhere
20 from insurance reimbursement is too low and
21 financially, facilities can't stay open, to they
22 can't find mammography technologists to do the
23 exams, and all sorts of things. So, the ACR has
24 begun to start to collect that information from the
25 facilities who notify us and then that they are

1 closed.

2 So, I will let Penny take it from here and
3 tell you about what they are doing, and then I will
4 be available for questions when she finishes.

5 Thank you.

6 Priscilla Butler, M.S.

7 MS. BUTLER: Hi. Penny Butler from ACR.

8 [Slide.]

9 As of August of this year, we accredit
10 over 12,000 units at over 8,000 facilities just to
11 put you into perspective. Some of the numbers I am
12 going to be presenting in a minute.

13 [Slide.]

14 I want to go through the process about how
15 we learn of facility closures and our approach to
16 closing them out in the accreditation system and
17 thereby transmitting this information to FDA.
18 Every time a facility successfully accredits with
19 us, whether it is initial or renewal, we instruct
20 the facility that they have certain obligations as
21 part of their accreditation.

22 Among these obligations is to notify us
23 when they close. From the facility's perspective,
24 it is usually the last thing on their mind when
25 they are trying to go through all of their business

1 dealings that they have to as they come to a
2 decision to close, and that is to notify us that
3 they have to close.

4 So, unfortunately, we don't always hear
5 about closures directly from the facilities. So,
6 when do we hear about it? Well, when we put the
7 facility through a renewal or we have to
8 communicate with them for any other reason.
9 Occasionally, we will get an unopened renewal
10 package.

11 At that point, we look into it and try to
12 find out if the facility has closed. The State
13 inspectors who get out there every year, if they
14 can't find the facility anymore, the address where
15 they think they are, they will notify the FDA or
16 sometimes they will notify us directly that they
17 have information that the facility has closed, and
18 sometimes we have been notified from consumers who
19 are contacting us to try to retrieve their old
20 films.

21 [Slide.]

22 Our closure procedures. We have to be
23 very careful how we close out facilities in our
24 system, and that is because we have had some
25 accidents which can be very traumatic for

1 facilities if we do this prematurely.

2 We will only close a facility once we
3 receive a letter, or a closure form that is signed
4 by either the facility's president or CEO or the
5 facility's lead interpreting physician.

6 We will also close out the facility after
7 10 business days of us sending them a closure memo
8 if we haven't received a response. So, for
9 example, in the previous situations where a State
10 or the FDA may notify us that a facility has
11 closed, and we send them a letter, we give them 10
12 days, and if we don't hear back from them, then, we
13 close them out in our system and we transmit to
14 FDA.

15 By the way, on this letter, the form that
16 we send them, we do ask them to call us immediately
17 if we have incorrect information about this. This
18 is necessary, this process is necessary to prevent
19 inaccurate closures. For example, we have had
20 phone calls from techs or receptionists or lower
21 level administrators and departments before, whose
22 facilities are going through ownership change, and
23 they have called us to tell us that their
24 facilities have closed, when, in actuality, the
25 facility didn't close, they are just going through

1 an ownership change. So, we need to get
2 verification of closure from somebody who has
3 authority within that facility.

4 Sometimes facilities will relocated and
5 they won't tell the State or other bodies, such as
6 us, that they have moved to a different address,
7 and when we follow up with them, we have found that
8 they have just moved to a different address.

9 As Helen was saying, in April of 2001, we
10 started manually tracking reasons for some of these
11 closures because working with FDA, and also from
12 the information we have been getting from
13 facilities, we felt we were noticing an increase in
14 closures, so we have reasons for the closures
15 through this closure memo that I was talking about,
16 and in addition to that, as I mentioned earlier, we
17 are also asking these facilities for a contact
18 person, so if we get a phone call from patients
19 asking about retrieving old films, we can help them
20 out and put them in touch with the right person.

21 [Slide.]

22 The analysis that I am showing you now
23 basically goes back to April of this year, and we
24 wanted to look at two things. One of them was
25 confirmed facility closures, not just facilities

1 that expired or facilities that were not currently
2 certified because they were waiting to reinstate as
3 they took corrective action, but those facilities
4 who actually either notified us that they were
5 closed or we formally closed them out in our
6 system.

7 In comparison, we also wanted to look at
8 those new facilities that were coming on line,
9 because we are notified by new facilities all the
10 time that they are starting up a mammography
11 operation.

12 One thing that is very clear, even though
13 you see a lot of blips here with regards to the
14 data, is that the new facilities opening up do not
15 compensate at all for the facilities that are
16 closing.

17 Now, the number of facilities that we see
18 here on that month-to-month chart, there is a lot
19 of fluctuation going on, on here. We are talking
20 about relatively small samples, 85 in April. Some
21 of that may have been clean-up, 25, say, in May,
22 and then a jump up to 65, on the order of 65 in
23 June.

24 I want to point out that was only up until
25 August 8th. We don't have the full month obviously

1 yet for closures.

2 Another caveat regarding this bar chart is
3 that these numbers are not the date that the
4 facility closed, because a lot of times we don't
5 know when the facility closed. We just know when
6 we have confirmation of closure. So, this is what
7 you are seeing here.

8 [Slide.]

9 I think from this limited data that we
10 have right now, the most interesting thing is to
11 note the reasons why facilities are closing. The
12 primary reason is a global financial type of
13 assessment that the facility has made that they
14 cannot make a living staying in business doing
15 mammography, and that is 26 percent.

16 The number of bona-fide bankruptcies that
17 we are aware of is 3.2 percent. 7.9 percent
18 indicated that they felt that their equipment
19 either would not meet the 2002 requirements, or
20 they were having problems with their equipment now,
21 that it wasn't working, and they couldn't get it
22 fixed, and this irrespective of any regulation out
23 there.

24 6.7 percent indicated that they are having
25 trouble finding qualified techs and sometimes

1 finding qualified radiologists to do the
2 interpretations.

3 2.8 percent had an ownership change, and
4 the new owners made a business decision to close
5 the mammography operations. I do want to point out
6 that we don't close a facility if it's an ownership
7 change if they are continuing to do mammography,
8 because access and services haven't been stopped.
9 We handle that in a different way.

10 Another thing which is very interesting,
11 and I know the folks in California and other States
12 are seeing similar types of things, is that a
13 number of facilities are making business decisions
14 to consolidate their mammography operations, so
15 they will take a facility with a single unit and
16 move it to a mammography center to try to use
17 economy of scale, and this is occurring in a large
18 number of facilities.

19 Now, what does this do to access?
20 Certainly, the quantity or the number of patients
21 that can be examined in the units is going to be
22 the same, but since they are geographically
23 consolidated, does this impact on access if that
24 remote site was closed down because of that.

25 As with any other study, we have 5.2

1 Other, and I do want to point out that we do have a
2 large number of Unknowns, and the reason for that
3 is these are the facilities where we get a renewal
4 package back that hasn't been opened, and we have
5 no contact from the facility, so we have no
6 information on it. So, that is why the Unknown
7 number is so large.

8 [Slide.]

9 So, let's talk a little bit about access
10 in this limited group that we have looked at since
11 April, we have 252 sites closed where only 83
12 opened. I think what is really important is that
13 17 of these sites were mobile sites, and mobile
14 facilities do provide a certain advantage to access
15 for women in remote or underserved areas. During
16 that period of time, only four mobile facilities
17 opened.

18 The other theme from this is one we have
19 been talking about all day, and that is patients
20 are having difficulty accessing their old films for
21 comparisons from these closed sites, and we have
22 already discussed these last two bullets.

23 So, last slide.

24 [Slide.]

25 We are continuing to monitor, to collect

1 and monitor this data. We share this with FDA on a
2 routine basis, and hopefully, over a longer period
3 of time, we will have more relevant information to
4 look at some trends.

5 MS. HARVEY: Thank you.

6 MR. CAMBURN: I noticed you were tracking
7 the number of facilities that were decreasing over
8 time. Have you also tracked the number of
9 mammography machines over time to see if they are
10 also decreasing or perhaps increasing in number?

11 MS. BUTLER: We haven't analyzed on that
12 yet. Our general feeling is that the number of
13 units are also decreasing, but I don't have it in
14 this analysis.

15 MR. CAMBURN: We have done some of that
16 tracking in Michigan, and in the past eight years
17 or so, we have dropped about 15 or 20 facilities,
18 but in terms of mammography machines, that has
19 increased by about 75 machines in that same period
20 of time, so more machines out there in our State at
21 least, but fewer facilities doing mammography.

22 DR. BARR: Yes, Jim, from the preliminary
23 information we are getting in, that seems to be the
24 case, he sort of expanding capacity, maybe fewer
25 facilities but more units. I know that GAO itself

1 is looking at this issue on a unit basis, so we
2 will see what comes of it. That is interesting to
3 know what your data shows in Michigan at this
4 point.

5 DR. LEE: I was wondering if the sites
6 that had closed, whether it was a regional
7 phenomenon, or was it pretty spread out among your
8 sample?

9 MS. BUTLER: We hope to be analyzing that.
10 Some States seem to have a higher number of
11 closures than other States, but because the
12 geographic areas and the populations of the
13 different States vary, we haven't really been able
14 to sort through that data yet.

15 DR. BARR: That is also one of the issues
16 that our contractor is looking at, too, to see if
17 there is pockets or where exactly decreased access
18 might be if it exists.

19 MS. HARVEY: Any other questions for our
20 presenters? We are all set. Thank you.

21 DR. BARR: We will keep you posted on
22 this, and probably by the next meeting we will have
23 some information from our different sources to give
24 you.

25 **Mammography Access Issues**

Committee Discussion

MS. HARVEY: We will have our own discussion now on any issues or aspects of this question that we would like to discuss.

DR. PISANO: I am glad that the organizations are doing kind of surveys and trying to get data on this. I know the Society of Breast Imaging has also done a survey, which I don't have the results of, but I know the membership of that organization, which is mainly radiologists and technologists, as well, as some physicists.

No one mentioned it, but there is a bill before Congress right now, the Harkin bill, which is intended to increase the number of radiologists who go into breast imaging, and I think it is a step in the right direction myself, but I think that it is unrealistic to think it is going to have an impact very soon.

My limited understanding of the bill is that it will add money to increase Radiology residents, and maybe there are other aspects of it, as well, that I don't know, but my concern is it is going to take quite a while before we have more radiologists who actually read breast imaging cases.

1 We have a short right now of radiologists
2 nationwide apparently, and no one spoke to that per
3 se, although it was mentioned briefly. I think
4 part of the issue is even if we get more
5 radiologists, we may not get more breast imagers,
6 and I think it is important for everyone to
7 understand how long it is going to take, even if
8 the Harkin bill is 100 percent very successful,
9 before we really are going to have more people in
10 the pipeline to read these mammograms.

11 We need to figure out a way besides the
12 Harkin bill, we need to figure out a way to
13 incentivize radiologists to go into breast imaging.
14 There isn't a strong motive for people to go into
15 this field right now, and there really is a problem
16 of getting people in the field.

17 We are all competing. I have two openings
18 in my practice right now. We have four, 3.2, a
19 part-time person, and three full-time radiologists
20 reading all the mammograms, and I have two
21 openings. So, we are quite short-handed right now,
22 and you talk to other radiologists, who are in
23 positions like myself, and everybody is hiring
24 right now. No one is fully staffed, and all of the
25 private groups are also hiring.

1 Last year, at RSNA, I always interview at
2 RSNA every year, there were like maybe three or
3 four people who were looking for jobs in breast
4 imaging of all the jobs there at the RSNA, the ACR
5 has a job fair there.

6 So, from my perspective, getting people to
7 go into breast imaging is a real problem right now.
8 I don't know how to incentivize people, but when
9 you talk to residents, they have lots of options
10 besides breast imaging, and you hear things like,
11 well, it is easier to be MR specialist, I don't
12 have to deal with the regulations, and the pay is
13 higher.

14 Those are the kind of statements made by
15 residents, so we need to figure out a way to make
16 it attractive to the trainees.

17 MS. HARVEY: So, I can expect that we will
18 probably lose more facilities as they struggle. In
19 New York, we did a demographics curve of all our
20 radiologic technologists and found a precipitous
21 drop-off, just as it is in the nation, of rad techs
22 that are under the age of 30.

23 We have lost schools, we have fewer people
24 who are being licensed, and so I think some
25 facilities are struggling also to have an adequate

1 number of radiological technologists to do
2 mammography, so it hits on both sides I think for
3 staffing.

4 DR. PISANO: Absolutely. We are missing
5 technologists in our practice, as well.

6 MS. HARVEY: There is also a bill, it's a
7 HCFA bill to raise reimbursements under Medicare.

8 DR. PISANO: I believe that is correct.

9 MS. HARVEY: It is a proposed regulation?

10 MR. SHOWALTER: I am Charlie Showalter,
11 Senior Director for Government Relations for the
12 ACR, and I can tell you a little bit about the
13 Harkin bill and what it contains.

14 Its fundamental intent initially was to
15 try to get reimbursement to remain in statute and
16 to set at a certain level. It has been in statute
17 ever since screening mammography was approved for
18 reimbursement back in 1990.

19 Last year, it got a bill passed, a budget
20 bill that will remove it at the end of this year if
21 nothing happens. We are trying to have something
22 happen.

23 The Harkin bill is in the Senate, the
24 King-Weiner bill is a parallel bill in the House,
25 and negotiations are ongoing to see whether

1 anything will pass or not, but what it contains is
2 the reimbursement construct which would put the
3 reimbursement back into the statute for another
4 year, and set it. Right now the bill reads at \$90
5 as opposed to the current \$69 and change.

6 The second aspect of the bill is the
7 increased funding for residencies, and right now
8 the bill reads three additional residents in
9 Radiology per residency program.

10 We are hearing that that is somewhat
11 unrealistic for some programs because of faculty
12 limitations and the general shortage of
13 radiologists makes it difficult to add three
14 faculty members, so that you can have a one-to-one
15 ratio with your residents.

16 We are trying to get some negotiation
17 flexibility in there. You know, if some residency
18 programs can absorb five and others absorb one,
19 why, they can sort of trade around, or we could
20 spread this out over a longer period of time, and
21 we don't know where that is going to go, but that
22 is what we are working on.

23 In addition to that, it contains funding
24 for technologist training programs. I think that
25 basically, the shortage of technologists, for one

1 reason, is a problem of the good stock market over
2 the last few years, and there have been a lot of
3 opportunities.

4 Technologists, you know, they don't make a
5 ton of money and some of the work is not a whole
6 lot of fun, and they have had other things they
7 could do, and they are doing them.

8 So, the radiologist shortage and the
9 technologist shortage, the best thing that has
10 happened over the last year is the fall of the
11 stock market, so many radiologists are not going to
12 be in a position to retire, and RTs may be
13 attracted back to the field.

14 In any case, that is a short summary of
15 the Harkin bill and what is going on in the
16 Congress.

17 MS. HARVEY: Thank you very much.

18 MS. ELLINGSON: I work at the ASRT, and
19 this is our major project of the moment, along with
20 the Federal Minimum Standards Act, the CARE Act,
21 the bill, excuse me, to make some kind of minimum
22 standards across the nation. There are still a lot
23 of States who have no licensure.

24 But to answer to the shortage, and
25 mammographers, of course, are a big part of it, but

1 it is across the board, we have found by our
2 surveys that people are leaving the field in such
3 great numbers, people my age are leaving and nobody
4 is coming in the front door, and we are all going
5 to have to be taken care of, and there is nobody to
6 do that.

7 So, we are working with high school
8 counselors. We have a new recruitment video that
9 is aimed at young people that will be impressed
10 with the music and the opportunities, and so forth,
11 of our video, but we are finding that high school
12 counselors are telling people don't go into
13 medicine, there is no money, it is hard work, and
14 bad hours, and they are steering our pool of new
15 applicants into radiologic technology programs.

16 So, we are working really, really hard to
17 recruit and to maintain. We call it our Work Force
18 Development and Workplace Enhancement, and we want
19 a better place for them to work, so that when they
20 do come in, they don't want to leave.

21 It will take time to do this because you
22 have got to recruit them in, you have got to go
23 through the school, and then they will choose their
24 specialty, but hopefully, our work will pay off,
25 but it is going to be a slump before we get that

1 done, but ASRT is working very hard on that at this
2 time.

3 DR. IKEDA: I am from Silicon Valley, so I
4 can tell you that in the last six or seven months,
5 since the Nasdaq fell, the traffic problem has
6 become better, and we have been able to recruit
7 some people to clerical positions where we could
8 not previously before all the dot coms kind of went
9 into the ground.

10 But I am glad that we are recognizing this
11 is a problem because as I can see from Ms. Butler's
12 data, it looks like 26 percent closed due to
13 financial reasons and 3.2 went bankrupt. So, as
14 always, it ends up being a matter of money.

15 I was a little concerned when I heard that
16 there was some consideration to having facilities
17 post a bond, so that when they do go bankrupt, I
18 mean it is kind of sending the wrong message, that
19 they can send the film somewhere.

20 It is important that patients be able to
21 access their films, but certainly this is
22 recognition of a real problem, and it has to do
23 with finances. It is a problem, and facilities
24 want to operate. I have never seen anybody
25 struggle so hard to get a mammogram on a patient

1 who has a problem as a mammography technologist or
2 physicians agonize over four films, trying to find
3 cancer.

4 So, with reimbursement being the way it
5 is, and the costs of operations, it has been a
6 difficulty to stay in business, so the access
7 problem, I am very concerned about.

8 DR. DOWLAT: Could I just make a comment,
9 too?

10 MS. HARVEY: Certainly.

11 DR. DOWLAT: In Chicago, we have crisis on
12 the number of radiologists, breast imagers. At
13 Rush, we have certainly had it for two years, and
14 it was sort of swapping with the University of
15 Chicago, and now they are in the dumps, and Rush is
16 in a better place because the radiologists moved
17 back.

18 I have one question. I just want to know
19 whether the litigation is still the highest among
20 the mammographers. Can someone answer that
21 question?

22 DR. PISANO: I don't know about recent. I
23 have heard data from about two years ago, and I
24 forget which organization put it out, but it was
25 the leading cause of malpractice suits--missed

1 breast cancer was the leading cause of a
2 malpractice suit in the United States about two
3 years ago.

4 DR. YOUNG: I just talked about this topic
5 last weekend, and radiologists are the source or
6 they are the main target, and followed by ob-gynees
7 and general surgeons incidentally. The delay in
8 diagnosis, of course, is the problem. Misreading
9 the mammograms accounted for about 25 percent of
10 the cases, and then another 22 percent were
11 mammograms read as being negative, but truly
12 contained a cancer, and we have discussed that
13 today. So, this is a problem, it is a deterrent to
14 attracting young people into the technologic
15 aspects of mammography, as well as the physicians.

16 MS. HARVEY: Are scanners useful? Do
17 scanners help for flow, to be able to do more
18 patients?

19 DR. PISANO: I am not sure what you are
20 asking.

21 MS. HARVEY: The R2 scanner.

22 DR. PISANO: Oh, the R2.

23 DR. YOUNG: I have had some peripheral
24 experience, not with the one that FDA has approved,
25 but another one very recently, and it has not made

1 a significant contribution to the abilities of an
2 experienced mammographer to detect breast
3 abnormalities.

4 DR. PISANO: There was a nice paper
5 published in Radiology by Berheni, Linda Warren
6 Berheni, earlier this year. Linda Warren Berheni
7 published a paper, she was the first author. There
8 were about 20 authors. I think it was in January
9 or February in Radiology about the R2 checker,
10 image checker, and their data was very impressive,
11 I thought, showing an improvement in ability to
12 find cancers with that system.

13 Clearly, this was a study that was
14 sponsored by the company, so we need to wait for
15 independent--in my opinion, we need to wait for
16 independent other studies. The first study can
17 always be incorrect, and other studies need to
18 verify that, but the data she published was very
19 impressive.

20 The problem with those systems, and it
21 just goes back to the cost, the cost, I can't
22 afford it at the University of North Carolina. We
23 are a public institution. It's a \$150,000 piece of
24 equipment, and you have to pay for someone to run
25 it. Even with increased reimbursement, you have to

1 do an awful lot. Now, there is increased
2 reimbursement if you use this system.

3 It is still quite expensive, and I would
4 have to do an awful lot of them to pay for it, and
5 I think I would lose money on it, to be honest. We
6 are, at the University of North Carolina, breaking
7 even right now, so anything that increases our cost
8 is potentially dangerous to us in terms of
9 maintaining the facility, keeping it open.

10 So, that is the way we made that decision
11 even with impressive data in the literature, I just
12 can't afford it.

13 MS. HARVEY: Dr. Karellas.

14 DR. KARELLAS: Several institutions tried
15 to streamline the process and upgrade their
16 mammographic facilities. In my experience, we
17 tried to get our administration to upgrade our
18 facilities. That way, we can increase the level of
19 service and the efficiency.

20 Although they value the service very much
21 as a service to the community, it is always a very
22 difficult thing to justify financially. So,
23 although they are willing, and they are supportive,
24 but the kind of model that we have in mind, and
25 that I believe is very common in several other

1 organizations, we have a model of efficiency and
2 high quality of care for the patient, and that
3 costs a little money.

4 Well, needless to say, the moment we bring
5 it up for this new women's center, the way we think
6 it should be in our community, it is not approved
7 because it apparently, at least under somebody's
8 assessment, does not make good financial sense.

9 MS. HARVEY: It doesn't provide enough
10 value?

11 DR. KARELLAS: Well, I don't think anybody
12 will dispute the value to the community and the
13 patients, the issue is that some institutions are
14 struggling to survive today, and if an institution
15 is facing a \$50 million deficit that will grow to
16 \$100 million deficit 10 to 12 months from now, they
17 will tell you just do mammography as you do now and
18 we are just not interested hearing about your plans
19 for another year or two.

20 Although the institution still will
21 continue to deliver a high quality service and we
22 don't think much is compromised, but I believe that
23 the waiting time is not getting shorter, the
24 patients are not happy, and overall, radiologists
25 are frustrated. In some cases, you cannot attract

1 any radiologists anymore because nobody really
2 wants to work under this kind of an environment,
3 and we are really going in a direction that we
4 don't want to go into.

5 What I am describing to you now is the
6 situation that I am all too familiar with in the
7 past year or so, and I believe that although some
8 institutions have had tremendous progress and they
9 have established just wonderful centers, some other
10 institutions are not able to do that.

11 DR. RAMOS-HERNANDEZ: We have a very
12 serious problem trying to get resources for people
13 who live in the small towns, for people who are
14 young, people that have no good medical insurance,
15 and we have seen it, I think that today we saw it
16 more clearly about the places that are closing
17 against those that are opening.

18 What I see is just more a deeper gap
19 between people who can get services and people who
20 cannot get, because those who are moving, are
21 moving to bigger cities or places where we have
22 more resources, and those places that have few
23 resources are getting without anything.

24 Also, about reimbursement, it is very low,
25 and most of the institutions that are doing

1 mammograms right now maybe are doing what you said,
2 they are having women's center, and they do it as
3 part of the charity of the hospital, part of the
4 reimbursement goes to charity.

5 So, to do something, there should be done
6 something done quickly because in one way, we are
7 encouraging women to have mammograms, we are doing
8 education. There are women who never think about
9 that, and when they decide to get the mammogram,
10 they need to wait five, three, two months, or they
11 basically cannot get it.

12 So, what is our message and where are we
13 going with this, how we are going to respond and
14 how we are going to be sure that those women,
15 especially latinos and African-Americans are
16 developing breast cancer at the lower, earlier
17 ages, and I don't want to talk even about quality
18 because we know that women who have very large
19 breasts need to have more than one site or more
20 than one procedure, sometimes more than one film,
21 and they are not getting that, because the
22 reimbursement will not pay for two or three films,
23 or they do not have in the facility, big films,
24 bigger films.

25 MS. HARVEY: Any other points? Carolyn,

1 do you have any other things to add from the
2 consumer point of view?

3 MS. BROWN-DAVIS: No, I think that I
4 concur, that when we talk about there being fewer
5 services, there is going to be a large group of
6 women in this country who are affected, not only
7 the rural population, as you mentioned earlier, but
8 those underserved populations who actually live in
9 urban areas now, but what to do?

10 DR. KARELLAS: I will be very brief. I
11 totally agree about the charity part, and I believe
12 we all should be doing, in all institutions, should
13 be very much involved in all kinds of charity, and
14 I believe this is a most deserving kind of charity
15 for underserved populations.

16 Some hospitals perhaps can do it better
17 than others. I will give you an example. I don't
18 think I would have much of a chance going to a
19 hospital administration that is losing \$50 million
20 in a year, and two days ago announced that they
21 laid off 200 people including 70 nurses, and they
22 will lay off 500 people in a month, and 100
23 physicians will be laid off in mid-September.

24 This is not a fiction. This is, if you
25 read the Worcester Telegram of a couple of days

1 ago, that is on the front page, and they wouldn't
2 listen to me on the charity part. Now, I think
3 that some hospitals do a much better job that we
4 can do, and I believe that we should not give up on
5 the charity. If we cannot afford it today, perhaps
6 a year or two from now, I think we can turn it
7 around and with the help of the community and
8 provide this charity.

9 By no means I want to say that this should
10 not be done. I believe that it is perhaps
11 possible. It takes some creative minds to do it.
12 I know some institutions do that very well.

13 DR. YOUNG: Is Charlie Showalter still
14 around? Does anyone know, is the proposed
15 reimbursement by statute from 69 to, what was it,
16 90-some dollars, is that both for the technical and
17 professional component, is that total reimbursement
18 just technical or part professional?

19 DR. PISANO: It is total, isn't it?

20 DR. YOUNG: Does anyone know, does that
21 pertain to Medicaid patients, as well as Medicare?

22 DR. PISANO: I thought it was total.

23 DR. YOUNG: Certainly, those that can
24 influence thoughts along this line need to have the
25 facts clearly in hand as they speak to it.

1 MR. LAWSON: Herschel Lawson, CDC. I
2 believe that it relates primarily to Medicare.
3 Medicaid are usually handled differently, but the
4 rates may be comparable, but I think that they are
5 managed just differently.

6 DR. FINDER: I just want to kind of put
7 this into a little bit of perspective and then ask
8 a question, which may have a very short answer.

9 A lot of the things that were mentioned
10 here, not only apply to mammography, but to
11 radiology and medicine in general. I don't believe
12 that the hospital is losing \$50 million just
13 because of mammography.

14 The other issues that are brought up are
15 not only radiologist, technologists - nurses, we
16 have a problem in this area in terms of nurses, so
17 it is not all radiology, it is not mammography
18 alone.

19 My question that I am going to raise to
20 you is do you have any suggestions to FDA in terms
21 of the MQSA program, are there any things that you
22 think we could do as part of our program, not as
23 lobbyists for something else, but within our
24 program that might help here?

25 [No response.]

1 DR. FINDER: And I thought that would be
2 the answer.

3 DR. PISANO: I would like to comment
4 briefly. Obviously, being of this panel, there is
5 a level of support for this legislation and this
6 process. I want to start out with that, and then
7 say but, I also wear the hat of having to get my
8 facility accredited by the ACR and inspected
9 annually, and the process, despite that fact that
10 only 10 percent said that 10 hours was not too
11 long, it is relatively onerous, and it is not
12 something that people relish or enjoy doing.

13 So, I am not saying that it has to be
14 something we enjoy doing, but perhaps there is a
15 way that we could make it less burdensome, and I
16 don't know if the regulations were ever looked at
17 with that in mind, in the way you have created the
18 program or imposed the program, or whatever word is
19 appropriate.

20 I don't know if anyone has really look at
21 each step, and I am sure every step along the way,
22 people said, yes, that was a good regulation, that
23 was a good regulation, that was a good regulation.
24 It is just, you know, it's the straw that broke the
25 camel's back kind of thing.

1 It is nice to know that every regulation
2 is really urgently or very important for patient
3 care and quality, and perhaps there are some things
4 that could be pared back and perhaps reined in a
5 little, because it really is a pretty enormous
6 undertaking to follow all these rules.

7 So, if there is any way that we could go
8 through them--and I am not volunteering personally
9 to go through every line by line--but if there is
10 any way to perhaps relook at the regulations to see
11 if there are things that could be reduced. That
12 would be my only suggestion to the FDA.

13 DR. LEE: One of the tenets they tell us,
14 of course, in public health, is do your needs
15 assessment, so I think the survey that you are
16 doing right now is a really good start, you know,
17 where are the areas in the country that women
18 aren't getting access. I think, for example, in
19 our area, just looking at some of our records, and
20 the women are able to get mammograms in a few
21 weeks, so I don't think it's a problem where I am,
22 but certainly in other areas, such as rural areas
23 or areas in which there are large parts of the
24 population which are underserved, they would merit
25 more looking at.

1 I think the survey that you are doing is a
2 good start.

3 DR. IKEDA: I would ask FDA to carefully
4 consider any addition of new regulations and be
5 careful about added fees. I realize that MQSA has
6 gone a long way to improve the quality of
7 mammography, and it has really helped patient care
8 and helped women across the United States.

9 At the same time, to add a new regulation
10 that must be inspected, look at those carefully and
11 see if they add to the quality. What I am
12 concerned about is the burdensome aspect. I employ
13 a full-time quality assurance person to follow my
14 patients, do my letters, check up on the biopsies,
15 make sure that the right letter goes to the right
16 patient, make sure that we follow up on the
17 patients, and I am in a relatively large facility,
18 and we have problems getting technologists, and I
19 need another mammographic unit, as I think
20 everybody does.

21 But it is a concern of mine to add the
22 straw that breaks the camel's back, that will
23 decrease access to women even more, especially in
24 the smaller facilities, may not be able to afford
25 as much of the regulatory process as much as like a

1 big facility like mine. So, that is what I am
2 concerned about.

3 I think that the demonstration project of
4 perhaps inspecting every other year that FDA has
5 proposed, I think is a step in the right direction,
6 if it's good.

7 DR. PISANO: The only other comment that I
8 have direct to government in general, and I don't
9 know if this is within FDA's purview or not, I
10 think there is room for perhaps more automation in
11 the QC process, and I think with digital we are
12 heading in that direction, but even for film-screen
13 systems, perhaps there is a way to make it less
14 person-intensive.

15 This is really not, I don't think, within
16 the FDA's purview except to be open to new ways to
17 test things, but it seems that there is room for
18 research in this area, and how we could automate
19 some of these things, so it is not so intensive
20 right now.

21 I do the same thing Debbie did when she
22 just said about having a full-time QA person for
23 the biopsies and things, but we lose a tech for a
24 whole morning a week just to do the processor and
25 QC stuff, so that is half a day a week for one

1 person, so that is quite a bit of time, and if
2 there is a way to make it easier, that would be
3 good.

4 I don't think there is anything currently
5 around that could do that.

6 MS. HARVEY: I would like to see the
7 inspections take a shorter period of time. I would
8 like to see if we could work out ways to
9 consolidate some of the information about
10 personnel, so that if a facility has, for example,
11 five sites, that we could have a centralized
12 location where the information about the doctors
13 that read, and the physicists that serve, could be
14 found to cut down the period of time it takes doing
15 an inspection, which is time out of a person's full
16 day, and also is an expense of the inspector to
17 look over that kind of data.

18 I would even, if I could have a wish list,
19 might have a centralized computer that would keep
20 information on doctors or on technologists or on
21 physicists, and I am thinking about doing that at
22 least for New York on physicists, just a smaller
23 group and one that we have a more limited number
24 on, so that that information is currently updated,
25 and the individuals don't have to send their

1 documents to every one of the facilities in which
2 they may read or work at or provide surveys for.

3 So, I thin, that is an area in which we
4 might be able to shorten up the period of time to
5 do some work.

6 DR. PISANO: I thought of another thing
7 that takes more time than maybe it should have to,
8 and that is each facility, each facility number,
9 even if it's run by the same radiologist, had to
10 keep separate data for each facility, so it would
11 be nice if you could do it--

12 MS. HARVEY: Pool the doctors' data for
13 medical audits?

14 DR. PISANO: Exactly, because knowing
15 where--you know, I run only two facilities right
16 now, but just it's a huge burden to have to figure
17 out which facility that patient started from to me
18 and separate their data out.

19 So, if I could do it per radiologist
20 across several facilities, that would save a huge
21 amount of time. That is just one thing.

22 MS. BROWN-DAVIS: I am looking at a
23 process or hearing the end of a process and the
24 beginning of a new one perhaps, because I can think
25 that I have sat here for, I don't know, two and a

1 half, three years, hearing various committee
2 members representing professional organizations to
3 which all of you belong, and they were to have
4 brought as, you know, one does in that type of
5 situation, the best experience from those
6 organizations and people who belong to those
7 organizations, and it sounds as if the people that
8 are sitting around the table now are saying that
9 some of that time spent coming up with the regs
10 might have been used differently, I guess that is
11 the best way to say that.

12 And yet I wonder if this is just a
13 process. The regs have been around now four, five,
14 or six years, and so we have actually seen how they
15 actually work, so I suppose that one shouldn't be
16 undaunted by that, because there is nothing new
17 actually, and maybe this is just a part of the
18 process.

19 MS. HARVEY: I think it is an evolutionary
20 process where you look at where you might get the
21 best bang for your buck.

22 MS. BROWN-DAVIS: Because I assure you in
23 my opinion, the FDA did not come up with these regs
24 by themselves, you know, they took the advice of
25 those people that were invited to be on the board,

1 wanted to be on the board, bringing their various
2 expertise. That's it.

3 DR. IKEDA: Ms. Brown-Davis' point is a
4 good one. As I said, the regulations, as
5 implemented, I remember trying to implement them at
6 my own facility, and seeing a great improvement of
7 the mammograms that were brought in as second
8 opinions, and so the MQSA regulations had a great
9 impact on the improvement of the quality
10 mammography and in diagnosing breast cancer.

11 So, I think that they were wonderful to
12 start out with, I think that the regulations did a
13 lot to improve mammography. I think we are at a
14 place where we have to maintain that improvement
15 and the quality, and I think we, as a committee,
16 also recognize that the world has changed since the
17 beginning in 1992, when the law first was passed.

18 Now, mammograms, I think are more
19 regulated. People have an expectation of better
20 quality. People are more informed. We want to
21 keep that quality, but the economic things have
22 changed, the eighties are gone for over 10 years,
23 meaning that there was a great boom in doing well
24 and then with the Nasdaq doing well, many people
25 did well and people could spend a lot more money,

1 but now the economic climate has changed, and I
2 think that we have to recognize that.

3 So I agree with you that the regulations
4 did a lot for improving things. I just want us to
5 be careful while still improving, continuing to
6 improve mammography quality and being sure that
7 women get treated correctly and diagnosed
8 correctly.

9 MS. HARVEY: Any final words? Is there
10 anything that the committee feels that they can do?
11 Any letters we can write, any banners we can put
12 up?

13 [No response.]

14 MS. HARVEY: All right. Thank you.

15 I think we are still running a little bit
16 ahead of schedule, so we will start with Inspection
17 Demonstration Project Update, and we will invite
18 Dr. Barr back again.

19 DR. BARR: Thank you for all those very
20 good comments. I only heard one good thing so far
21 out of the whole discussion, and that is that it
22 seems like Charlie Finder and I, if they don't
23 treat us right here, have our pick of jobs.

24 [Laughter.]

25 DR. BARR: I just wanted to invite Charlie

1 Showalter up. There were some questions that came
2 up while he was out of the room, and maybe he could
3 address those now before I start.

4 DR. YOUNG: Pardon me. I had a couple of
5 questions about the proposed legislation with the
6 mammography reimbursement to statute for another
7 year, that current rate is \$63 going up to, what,
8 90 or 99, and is that just technical or is that
9 technical and professional? The second part of the
10 question was does this pertain to Medicaid patients
11 as well as Medicare?

12 MR. SHOWALTER: It's a combination.
13 Currently, the \$69 is allocated, I believe, 68
14 percent technical and 32 percent physician fee, and
15 that is a determination that was made by HCFA after
16 the statutory amount was set.

17 It would apply to the same set of patients
18 that it applies to now, and I am not certain about
19 Medicaid. It certainly applies to Medicare. We
20 would expect the same ratio to the 68-32 to be
21 allocated by HCFA if the \$90 gets passed, and it
22 would not change, you may know better than I
23 whether the current statutory amount applies to
24 both Medicare and Medicaid, because I am not
25 certain.

1 DR. YOUNG: I think that is a
2 state-to-state determination because the States
3 have to participate at a certain level, and that
4 varies all over the place.

5 MR. SHOWALTER: That was my impression.
6 It is my impression that in 1999, there were 4.6
7 million women who were examined and paid for by
8 Medicare, and that is the population that we know
9 we are working with. The Medicaid, as I was under
10 the impression, was a state-by-state, and is not
11 directly affected by the physician fee schedule,
12 and that is what this is in lieu of is the
13 physician fee schedule that is set for Medicare.

14 DR. YOUNG: Right, and I think everyone
15 appreciates even the \$90 rate doesn't reimburse the
16 facility completely. I used to do cost accounting
17 when I was in private practice with direct and
18 indirect costs. It costs \$130 or \$140 to put a
19 patient through for a screening mammogram.

20 MR. SHOWALTER: Well, we just finished a
21 survey actually, a cost survey, and we divided it
22 up by hospitals and private offices. Now, there
23 are other things going on around this proposal or
24 this legislative proposal. Anyway, the cost survey
25 indicated that it costs about \$86 in private

1 offices to do a mammogram, and about \$125 in
2 hospitals. Now, that was from the sampling of 37
3 facilities, and that is not a complete sample by
4 any stretch, and I am sure it is more expensive in
5 some places and less in others.

6 HCFA has proposed in anticipation, in
7 current law, it goes out of statute into the
8 physician fee schedule the 1st of the January, they
9 have proposed an amount of 88.50 for reimbursement
10 under the physician fee schedule, which would apply
11 to private offices.

12 So, these two things have happened since
13 we had the legislative proposal put together, and
14 now we are beginning to wonder does it make sense
15 to divide this up into two sets, one for private
16 offices and another, higher number, for hospitals.
17 We are having discussions with staffers on that at
18 this point. We don't know for sure where that is
19 going.

20 If course, hospitals are paid under a
21 different--our hospitals are reimbursed for
22 outpatients under a different, this APC system.
23 HCFA will make a proposal on Friday. They put on
24 display their proposal yesterday up on their web
25 site, and it is a very confusing situation for

1 screening for outpatients, because they made no
2 proposal for screening basically, for screening
3 mammography. Those spaces are blank. So, we don't
4 have any idea what they intend to pay, but we have
5 thought that diagnostic mammography was underfunded
6 in hospitals under the APCs, at \$34 and change for
7 the technical.

8 They have proposed to lower that 6 percent
9 come next year. So, if that is an indication of
10 what you can expect for hospital outpatients, it is
11 our opinion that this needs to be handled
12 statutorily, or hospitals are simply going to not
13 be able to continue to provide mammography on an
14 outpatient basis.

15 DR. BARR: Thank you, Charlie.

16 **Inspection Demonstration Project - Update**

17 **Helen Barr, M.D.**

18 DR. BARR: I am going to give you an
19 update on the Inspection Demonstration Program,
20 which you have been hearing about. For some of
21 you, this will be old hat, and for some of you,
22 this will be new.

23 [Slide.]

24 As you already heard from Dr. Mourad this
25 morning, the Mammography Quality Standards Act was

1 reauthorized October 1998, and that will take us
2 through October of 2002, so actually, we are
3 beginning another reauthorization process right
4 now.

5 As Dr. Mourad pointed out, the MQSRA gave
6 us a number of different tools, and one of the
7 things that did is gave us the opportunity, if you
8 will, to conduct an inspection demonstration
9 program.

10 [Slide.]

11 What MQSRA told us is that we could look
12 at selected facilities getting less frequent
13 inspections, and although the overall doing the
14 project was a "may" and not a "must" or "shall,"
15 these things that MQSRA told us to do are things
16 that we have to do, and not that we have an option
17 of, that the program cannot be implemented before
18 April 1st of 2001, that facilities included must be
19 substantially free of incidents of noncompliance,
20 that the number of facilities provide a
21 statistically significant sample, and that the
22 inspection frequency that we chose reasonably
23 assure compliance with the standards.

24 [Slide.]

25 We have two goals in putting this program

1 together, and one is to comply with the MQSRA and
2 what it told us to do, and the other is to ask the
3 question - can we reduce MQSA inspection frequency
4 for high performance facilities and maintain an
5 assurance of quality.

6 [Slide.]

7 To put the program together, we consulted
8 with lots of different folks. We consulted with
9 the States primarily through the Conference of
10 Radiation Control Program directors, with this
11 committee itself, with our own regional radiologic
12 health representatives.

13 [Slide.]

14 And with other offices within our center,
15 particularly the Office of Surveillance and
16 Biometrics, which helped us look at the statistical
17 end of this.

18 We put all these things together and came
19 up with a program plan and a schedule for
20 implementation.

21 [Slide.]

22 The program will include States and
23 facilities using established criteria that we set
24 out. It will include both study and control
25 groups. The plan is to conduct biennial

1 inspections for the facilities in the study group,
2 and to conduct annual inspections for the
3 facilities in the control group, and compare those
4 results.

5 [Slide.]

6 For a State to participate, these are the
7 criteria that we set out. First of all, the State
8 can have no State laws, regulations, or
9 unchangeable policy which require annual
10 inspections of mammography facilities because
11 obviously, if the State was going in there on a
12 yearly basis by law or regulation, and we were
13 asking the facilities that they be skipped
14 inspection, that would be a bias to the study with
15 the State going in there in the year between.

16 We decided that the States would have to
17 agree to participate, and that they had to
18 inspection participating facilities at the
19 frequency that we would designate if they were to
20 be participants.

21 [Slide.]

22 They would have to accept modifications in
23 their State contracts based on the number of
24 facilities to be inspected--we contract with most,
25 but not all of the States to conduct the

1 mammography facility inspections, and if facilities
2 were skipping a year of inspection, that would
3 cause modifications in the contract--and an
4 agreement to notify FDA of any potential serious
5 public health risks of which they would become
6 aware of during the demonstration program.

7 [Slide.]

8 We solicited participation from all 50
9 States plus the District of Columbia, New York
10 City, and Puerto Rico, and we received agreement to
11 participate from 14 of the 53, the group of 53, and
12 as you can see up there, our participants are in
13 the States or jurisdictions of Arkansas, D.C.,
14 Florida, Mississippi, New York City, New York,
15 Ohio, Oklahoma, Pennsylvania, Puerto Rico, South
16 Dakota, Washington, Wisconsin, and Wyoming.

17 About 14 more States could have
18 potentially participated, that is, they had no laws
19 or regulations or unchangeable policy that would
20 have prevented them from participating, but they
21 elected not to participate for various reasons.
22 Some of them we heard were financial, they didn't
23 want the skipped income of the annual inspection,
24 and others were philosophical reasons that they
25 strongly felt that to maintain mammography quality,

1 we needed to be in there on a yearly basis.

2 [Slide.]

3 We also decided to deal with the financial
4 concern of the States, to limit the participation
5 to no more than 10 percent of the State's
6 facilities, so say, for example, 20 percent of the
7 State's facilities turned out to be eligible under
8 the criteria, which you will see for the facilities
9 in a minute, we would make a ceiling at 10 percent
10 of the facilities, which really breaks down to only
11 5 percent skipping inspection because the other 5
12 percent would be in the control group which would
13 get annual inspections.

14 This was an attempt to not make this
15 project financially burdensome on any of the
16 States. We elected to include all the eligible
17 federal facilities who met the criteria.

18 [Slide.]

19 For the facilities to participate, the
20 facility has to maintain full accreditation and
21 certification throughout the program. They have to
22 anticipate providing mammography services
23 throughout the program, and they need to undergo at
24 least two annual inspections under the Final
25 Regulations.

1 [Slide.]

2 During these inspections, they can receive
3 no citations during their two most recent
4 inspections under the Final Regulations. They can
5 receive no regulatory compliance action or be
6 currently considered for such regulatory action by
7 us.

8 They obviously need to be located in a
9 participating State, and they have to be selected
10 by us to participate.

11 [Slide.]

12 Some of the limitations of the program
13 that we see so far are that we do have a limited
14 number of States participating, 14 States are
15 participating, and that is obviously a small
16 percentage of the overall States. So, that is
17 going to limit what we get out of the program right
18 up front.

19 Since we made the participation voluntary,
20 that took out another large chunk of folks who
21 didn't want to participate, and there is always the
22 chance of self-selection bias from the States that
23 agreed voluntarily to participate.

24 [Slide.]

25 Obviously, the limited number of States

1 limits the number of facilities, and what we see
2 now is that we are going to have about 300
3 facilities is what we are predicting based on the
4 results that we see right now of facilities who
5 will meet the criteria that we set forth and are in
6 participating States and fall under that 10 percent
7 number.

8 The fact that we limited the participation
9 to decrease the financial burden also limits what
10 we will get out of the study, and obviously, that
11 the facilities have to be in a participating State.

12 [Slide.]

13 So, all in all, what our statisticians
14 have told us to date is because of these
15 restrictions, this is not going to be a
16 statistically valid study, we think, in the sense
17 that Congress hoped that it might be.

18 So, dealing with that, then, we have to
19 see where we go from here. We have a limited
20 participation. We have a lot of internal and
21 external limitations that were put on the program,
22 so what we will have is a lot of descriptive
23 statistics, and we have to deal with those and what
24 the power is or is not of those, and the
25 applicability of what those results will mean to a

1 nationwide program, and what Congress may or may
2 not do with any results that we come up with.

3 [Slide.]

4 Timeline. We are in the process of
5 picking the first 50 percent of the facilities
6 inspected because the first group of facilities
7 that would have been inspected twice under the
8 Final Regs, that would have happened now, and we
9 are going to distribute the letters of notification
10 to them.

11 That will also give them a six-month
12 notice period that they are not going to be
13 inspected, that they are going to get the
14 opportunity to skip an inspection. It will also
15 give the States time to see who those facilities
16 are and how that is going to affect their staffing
17 and budgets, et cetera.

18 In May 2002, the first facilities will
19 start skipping inspection, and we will begin to
20 pick the second group. That is when the other half
21 of the facilities will finally have undergone two
22 inspections under the Final Regs. So, we are due
23 to start in the spring.

24 I would welcome any questions or comments
25 you would have.

1 MS. BROWN-DAVIS: I just had a thought as
2 to how much did this cost, do you have any idea,
3 you know, the project to date?

4 DR. BARR: No, we have not figured out
5 internal costs. You mean as far as staff time, et
6 cetera, to develop the program? We haven't figured
7 out those costs, no, I don't.

8 DR. PISANO: Maybe you said this, but I
9 missed it. What exactly are you going to be
10 measuring as outcome measures, is it just
11 citations, or what exactly are your outcome
12 measures?

13 DR. BARR: We are in the process right now
14 of developing exactly what we are going to be
15 measuring in the inspections. The inspection
16 itself will be the same inspection as the one that
17 is done annually now, and we will be, of course,
18 looking at primarily violations - did this
19 citation-free facility bias not being in there or
20 in the interim, while we weren't in there, then
21 receive citations, and if so, what some of the
22 reasons for that might be.

23 If they stay clean, they stayed clean, and
24 we don't have a lot of work to do. If they did get
25 violations, there is a number of parameters that we

1 could look at, did they have a significant change
2 in personnel, such as lead interpreting physician
3 or QC tech, and those are the things we will be
4 looking at, you know, did they slip only to the
5 Level 3 violations, or did they go badly in a hand
6 basket and go to Level 1's, and the reasons for
7 those.

8 We are in the process of developing all of
9 that.

10 DR. PISANO: Is that the reason, I mean is
11 it because you expect only a small difference that
12 you don't have enough power with 600 facilities? I
13 mean there are 300 that are going to be the study
14 population and 300 in the control population.

15 DR. BARR: No, 300 is the total
16 population, 150 in the study group and 150 in the
17 control, and it is not purely numbers that don't
18 give us the statistical power, it's lack of random
19 sampling, because we are only using the States that
20 volunteered to participate, and a number of other
21 things that go into statistics where we don't think
22 we are going to get the power that we might have
23 otherwise, say, with the Facility Satisfaction
24 Survey, which is a purely random sampling of
25 facilities, and hold a lot of statistical weight

1 with that randomness attached to it.

2 This also does not, of course, address the
3 issue that you raised, which is certainly one worth
4 looking at, and was not in the minds of Congress at
5 least at this point to do a truncated inspection.
6 There is probably two ways to look at the whole
7 inspection process.

8 We could skip inspections or we could
9 shorten the inspection process for everyone, and
10 those are different things to look at.

11 MS. HARVEY: At this point in time with so
12 many financial pressures on facilities, sometimes
13 another way to do things is shorter inspections
14 more frequently, just to be remembered, you know.
15 Nothing like having the Health Department call up
16 and say they are dropping by to be an incentive for
17 people to remember to do what they would normally
18 be doing.

19 DR. PISANO: And there is a lot of
20 pressure. What used to happen is people had time
21 at the beginning of the day to do things, and now
22 you are talking about 21 patients coming through on
23 a unit. When it was 16, there was a little more
24 time there to take care of some of these things.

25 So, that is another alternative is more

1 frequent, but less intensive look at a few objects.
2 Pick your performance indicators that you are
3 interested in.

4 DR. BARR: Certainly, that is another
5 alternative, as I said, not outlined by Congress at
6 this point, but absolutely.

7 MS. HARVEY: Things changed quite quickly
8 in some ways, didn't they.

9 DR. BARR: There are other alternatives,
10 and there is lots of issues surrounding this. I
11 mean we have States that say you have go to in
12 there every year, we have States that say we are
13 willing to see how this pans out, we have people
14 who say, you know, we need all these things to be
15 checked every year. There are people that say,
16 some of them, we have never had a dose that has
17 been out of limit, do we need to measure the dose
18 every time.

19 Well, some people would say it has never
20 in all these years of inspections been a problem,
21 and some would say, yeah, but the one time it is a
22 problem, it could be a big problem, so there is a
23 myriad of issues to weigh in all this.

24 DR. FINDER: I would add that we did
25 discuss and look into the possibility of doing

1 shorter inspections and how that would impact, and
2 it turns out that much of the cost of the
3 inspection is just getting physically the person
4 out there.

5 So, we looked at how much we would save in
6 terms of being able to reduce the cost of the
7 inspection, and it really wasn't much, if anything,
8 because again the major cost is shipping the person
9 out there, so it wasn't a cost savings from that
10 standpoint, and how much the facility would benefit
11 from having a slightly shorter inspection versus
12 having inspection every other year.

13 The idea of the less frequent inspection,
14 but doing the same type of inspection was the way
15 we are going, and especially since Congress has put
16 it in the Act that way, in the reauthorization act.

17 DR. BARR: Although we did analyze the
18 shorter inspection from the cost standpoint, as
19 Charlie points out, separate from that might be an
20 analysis of what you really need to measure and
21 sort of what are the key elements in the
22 inspection, which really give us indicators of a
23 problem facility, separate from the whole cost
24 issue.

25 MS. HARVEY: Thank you, Dr. Barr.

1 Ms. Fischer will speak to us now about
2 Full-Field Digital Mammography Certification -
3 Update, with Ms. Butler.

4 Full-Field Digital Mammography
5 Certification - Update

6 Ruth Fischer

7 MS. FISCHER: This will be a very brief
8 overview for you, and I will gladly yield the rest
9 of my time to Penny Butler, even if she doesn't
10 want to.

11 FDA has been extending certification to
12 include full-field digital mammography systems
13 under certain circumstances for the past year and a
14 half.

15 First of all, the manufacturer's system
16 must be approved by FDA. That is done in the
17 Office of Device Evaluation. It is not where MQSA
18 is located. We are in the Office of Health and
19 Industry Programs, however, the two offices do
20 collaborate on these reviews and discussing
21 clinical testing, clinical design.

22 MQSA does make a significant contribution
23 in the area of the review of the quality control
24 tests of the manufacturer and the Quality Control
25 Manual.

1 What we have presently been doing is we
2 will extend the MQSA certificate to include a
3 digital system if it is an accredited screen-film
4 facility. We know that facility has gone through
5 the rigorous standards process and that the
6 surrounding infrastructure for the facility has
7 been approved by one of our accreditation bodies
8 and subsequently certified.

9 For the past year and a half, we have not
10 had an accreditation body for digital, and so the
11 units have been exempt to date, and the way we
12 wanted to cover that more substantially was then in
13 our review of the individual facility's
14 applications.

15 The things that are in the application
16 that need to be addressed, that are of most
17 importance, are providing the list of personnel who
18 began working in FFDM modality prior to April 28th,
19 1999, when the Final Regs became effective, and
20 after that or projected to work in the field after
21 that.

22 By working with the system, we mean the
23 interpretation, the actual performance of the
24 mammogram, surveying of the unit.

25 A key point is providing a satisfactory

1 FFDM equipment evaluation. This includes an
2 evaluation of the softcopy display system if that
3 is going to be part of regular clinical use. This
4 must be done by a qualified medical physicist, and
5 it must be within six months prior to the
6 facility's application for the unit.

7 We require, as we must by the Final
8 Regulations, that the facility follow the
9 manufacturer's guidelines for quality assurance and
10 quality control tests. That is specifically
11 specified in the Final Regulations.

12 Then, six months after using these tests,
13 we require the facility to send us the results. We
14 also take a look at that. In addition, in the
15 application, we look at the results of the phantom
16 image test and a sample phantom is sent in, as
17 well.

18 These materials are all reviewed and if
19 acceptable, then, we will extend the certification
20 to include that unit for the facility. If it is
21 not acceptable, we work with the facility, the
22 medical physicist, in order to go through anything
23 that we think may be deficient, but then is fully
24 corrected, and then we can give an approval.

25 There has been one area of confusion that

1 we have become aware of recently, and it has
2 occurred at professional meetings, so FDA would
3 like to clarify it. It involves all categories of
4 personnel, the interpreting physician, the
5 radiological technologist, the medical physicist.
6 It is about the documentation requirements for the
7 eight hours of initial modality training of
8 personnel working with the FFDM systems.

9 Those who were working with the systems
10 prior to April 28th, 1999, were considered the
11 pioneers of the program, are considered to have met
12 the eight-hour initial training requirement
13 including that work, and such personnel may provide
14 either an attestation on an FDA attestation form or
15 its equivalent, or documentation of the work for
16 review during inspections.

17 Personnel who began working with FFDM
18 systems after April 28th, 1999, must provide
19 documentation of their training for review during
20 inspections.

21 We are aware that this position conflicts
22 with our currently published guidance of January
23 2001, stating that attestation would only be
24 accepted if the work with FFDM units took place
25 before October 1, 1994, and the guidance is

1 presently being revised to remove this conflict.

2 Thank you.

3 Priscilla Butler, M.S.

4 MS. BUTLER: Penny Butler from ACR. I am
5 going to talk to you about the development of the
6 full-field digital mammography accreditation
7 process.

8 [Slide.]

9 Just a little history. I will skip over
10 the first bullet. I think Ruth went through this.
11 I want to discuss a little bit what ACR's process
12 is and why we didn't have an accreditation program
13 the moment FDA gave the blessing on the GE unit.

14 For all of our accreditation programs, we
15 tend to develop them after our professionals -
16 technologists, radiologists, medical physicists,
17 have some experience with the modality, so don't
18 come out with unreasonable standards and standards
19 that have not really borne the results for some
20 time.

21 For that reason, we didn't have a program
22 right from the beginning, but the problem was that
23 under the MQSA regulations, a facility has to be
24 accredited before it can be certified.

25 [Slide.]

1 Ruth described the interim process for
2 allowing full-field digital units to be used
3 clinically in the United States right now, and that
4 has been working very well. It has allowed us to
5 obtain some data for the pilot programs, so that we
6 can come out with a digital module.

7 [Slide.]

8 We have a subcommittee of the Committee of
9 Mammography Accreditation. This is the
10 Subcommittee on Full-Field Digital Mammography,
11 which is chaired by Martin Yaffe. In fact, Andrew
12 Karellas is one of the members of the committee.

13 The purpose of this committee is to
14 develop and test a revised accreditation testing
15 protocols and forms, and to conduct a pilot test,
16 and this pilot test was conducted in the spring of
17 this year.

18 [Slide.]

19 Our goals in this pilot test were to field
20 test new phantom and dosimeter testing protocols,
21 and I will explain why we need different testing
22 protocols in a minute, to field test these revised
23 instructions and forms for the facilities, and to
24 determine if existing ACR image reviewer protocols,
25 which were originally designed for screen-film,

1 were going to be adequate.

2 We also need to set up a system for
3 full-field digital mammography application
4 internally by ACR staff, and determine what changes
5 we need for our accreditation software. I also
6 want to point out that all of these pilots test
7 activities going on really followed some very
8 early, what we call alpha-testing, sort of basic
9 research on looking at some of the quality control
10 and the testing protocols for digital that took
11 place way before this.

12 [Slide.]

13 Why do we need different protocols for
14 looking at phantom exposure and dosimetry? Well,
15 each of the digital manufacturers have different
16 exposure control mechanisms, which are different
17 from screen-film.

18 We are finding that the instructions that
19 we give to our facilities on how to expose a
20 phantom and how to expose, in particular, the
21 dosimeter that we send with the phantom, have to be
22 unit-specific.

23 For example, the General Electric's
24 exposure control system is going to be
25 significantly impacted by the thickest or the

1 densest part of the breast. Currently, screen-film
2 systems have a relatively small ion chamber, which
3 is used to measure the transmitted radiation, so
4 the system can determine when and how to terminate
5 the exposure, but the General Electric systems look
6 at a much broader area.

7 Particularly with the phantom and the
8 dosimeter that is used for accreditation, you do
9 have a lucite rim around the phantom, around the
10 block. In addition to that, we place an additional
11 plastic holder, which contains the thermal
12 luminescent dosimeters on top of the phantom, and
13 that can skew the exposure and possibly the image
14 quality results, so they would result in higher
15 exposures of a 4.2 cm breast.

16 So, what the committee worked on was a
17 revised set of instructions, were instructing the
18 facilities for the GE units in particular to expose
19 a 4.2 cm tissue-equivalent, homogeneous acrylic
20 block, so it is just a piece of lucite under AEC
21 conditions to determine the appropriate technique
22 that is going to be used.

23 Part 2 involves the exposure of the
24 accreditation phantom with the dosimeter in place.
25 This will be done by the facility selecting the

1 closest manual technique that came up after the AED
2 exposure. So, the phantom and the dosimeter will
3 be exposed under manual conditions.

4 [Slide.]

5 There is also other unit-to-unit
6 differences among digital equipment that we need to
7 be aware of, and I am just quoting part of the regs
8 which Ruth had pointed out earlier, and I want to
9 re-emphasize this, because this is a point of
10 confusion among technologists and physicists in
11 particular.

12 That is, "For systems with image receptor
13 modalities other than screen-film, the quality
14 assurance program has to be substantially the same
15 as the quality assurance program recommended by the
16 image receptor manufacturer except for the dose
17 part, which stays at 300 millirads."

18 With the different manufacturers that are
19 coming out with digital units, we have to have a
20 different set of criteria for evaluating the image
21 quality in each of those cases. Under the
22 regulations as they currently stand, it has to be
23 based on what the manufacturers have come up with.

24 [Slide.]

25 Just for an example, this is a laundry

1 list from the General Electric QAP Manual
2 describing the technologist tests, and many of them
3 look exactly the same as they are in the ACR-QC
4 manual, which primarily applies to screen-film, but
5 there are other items which are specific to
6 digital, such as viewing conditions for the review
7 workstation, flat field tests, MTF measurements,
8 AOP mode and signal-to-noise checks, and certainly
9 laser film printer QC. A lot of the others are
10 exactly the same, however, as the Mammo QC Manual.

11 I also want to point out that some of the
12 tests there are only if applicable. For example,
13 if you are doing dry laser film processing,
14 obviously, you are not going to have to do the
15 analysis of fixer retention tests, which is very
16 specific to processor quality control.

17 [Slide.]

18 Likewise, for the medical physicist, there
19 are some tests which are specific. They are for
20 the digital system using the SMPTE pattern to look
21 at image quality, display device calibration
22 looking at brightness and contrast, again, the
23 review workstation screen uniformity, and again, a
24 lot of the tests that are common to screen-film are
25 there also.

1 [Slide.]

2 Let me talk a little bit about the pilot
3 test that we ran. At the time, although we had
4 some stragglers coming in, we had 10 General
5 Electric 2000D units that we received test data
6 from. We were fortunate because at the FDA
7 approval, that we were not only able to obtain
8 results from academic centers that were
9 participating in research projects, and the primary
10 research project that we drew from was the ACR
11 Imaging Network, which is now called DMIST, and
12 they have been very cooperative in participating in
13 the program.

14 We also were able to obtain data from
15 private practices across the United States. Our
16 original goal was to try to pilot test some of the
17 other digital units that were out there, such as
18 Fisher and Fuji and Hilologic LoRad, but part of the
19 stumbling blocks we came across were that they were
20 not FDA approved, so there weren't many of them out
21 there, and many of the research sites that we were
22 hoping to obtain data from had not yet received
23 their newer models when we were conducting the
24 pilot test, so they weren't really available to
25 participate.

1 [slide.]

2 So, what did we find from our pilot
3 testing results? The new phantom instructions that
4 we had presented to these facilities turned out to
5 be relatively easy to follow. We didn't have a
6 whole lot of phone calls regarding how to do this.
7 I don't think we had any phone calls. It was
8 pretty straightforward.

9 Our subcommittee also feels at this time
10 that there is no need to change the image
11 evaluation criteria relative to screen-film, and
12 this applies to both digital clinical images and
13 phantom images. There is a few minor tweaks for,
14 in particular, artifacts, because there is a whole
15 genre of artifacts that may occur as a result of
16 digital, which you wouldn't see under screen-film,
17 but this is a minor change.

18 Our volunteer facilities generally felt
19 that the process was easy to follow, and this was
20 primarily because it was very similar to the
21 screen-film documents that they were used to
22 completing, however, there were some revisions that
23 we made to these documents into the program as a
24 result of this pilot.

25 One of the things that we were noting

1 during review of the documents that were sent to
2 us, that many of the physicists were not aware that
3 they needed to comply with the manufacturer's
4 recommendations for quality control. They were
5 basically turning in quality control tests which
6 were more specifically related to screen-film
7 rather than what was included in the QAP Manual.

8 We are going to strengthen these
9 instructions with our final documents when they are
10 revised and approved.

11 Another thing that the committee decided
12 as a result of this is that it is an undue burden
13 to request from facilities quality control data on
14 both processor QC and laser QC. They felt that
15 facilities using laser cameras to produce hardcopy,
16 that the quality control was important information,
17 and they felt that we only needed to request that,
18 we did not need to request the processor QC charts.

19 We will be requesting basically a
20 checklist, so that we know that they do the QC, but
21 we will be looking at the laser QC.

22 [Slide.]

23 The subcommittee also decided that due to
24 the differences between the manufacturers, we have
25 to develop separate application packages for each

1 manufacturer at this time. That is because of the
2 exposure control mechanisms that are different and
3 the required QC that may be specific depending on
4 the manufacturer.

5 Consequently, we are going to have to
6 pilot test each of these manufacturer's models as
7 they became available through the ACRIN research
8 trials and as FDA grants approval.

9 [Slide.]

10 So, where are we in the approval process?
11 We are currently in the middle of it. I know
12 probably many of you never had to deal with an
13 approval process before, but sometimes it can take
14 a significant amount of time in order to review the
15 document and obtain approval.

16 Right now, the Committee on Mammography
17 Accreditation, chaired by Judy Destaway, they voted
18 on the documents in the program, and after some
19 changes, they have approved it with some changes, I
20 should say, and for every ACR accreditation program
21 or module, our process is that it must be reviewed
22 by the Council Steering Committee and then after
23 that, and after we incorporate comments from the
24 Council Steering Committee, it has to be approved
25 by the Executive Committee of the Board of

1 Chancellors.

2 We hope to get the package to go to the
3 Council Steering Committee this week, and if there
4 are no significant revisions, we hope to have final
5 approval by the end of September.

6 [Slide.]

7 After approval, what are we going to do?
8 Well, ACR has requested FDA to provide us with a
9 list of facilities with the GE full-field digital
10 units, so that we can advise them of the
11 appropriate process for accreditation.

12 This is important because we are treating
13 these digital units when they enter the
14 accreditation process as new units, and depending
15 on where the facility is in the accreditation
16 process, we are either going to require the
17 facility go through early renewal of all their
18 units at this time or go through what we call the
19 mid-cycle accreditation cycle.

20 So, if they have less than 13 months left
21 on their accreditation, we will ask them to
22 complete early renewal for all the units at the
23 facility. If there are more than 13 months left on
24 their certificate, we will ask the facility to go
25 through mid-cycle accreditation, and this will be

1 at a reduced fee. The full renewal will be at the
2 usual accreditation fee.

3 I think that is my last slide.

4 MS. HARVEY: Any questions?

5 DR. PISANO: Someone mentioned before, I
6 think Ms. Barr, that you were going to require
7 submission of accreditation materials on printed
8 film?

9 MS. BUTLER: Hardcopy?

10 DR. PISANO: Hardcopy.

11 MS. BUTLER: Yes, that is correct.

12 Phantom images and clinical images will have to be
13 submitted to us on hardcopy.

14 DR. PISANO: I just want to make a comment
15 about that. As part of the ACRIN program, ACRIN is
16 a multi-center clinical trial that I am PI of,
17 which is going to compare digital to film-screen
18 mammography diagnostic accuracy, and the name of
19 the trial is DMIST, Digital Mammographic Imaging
20 Screening Trial.

21 Anyway, as part of that trial, Margin
22 Yaffe is also in charge of our quality assurance
23 program for that trial, and we are pilot testing
24 softcopy submission for that. I mean we are
25 definitely doing everything through softcopy, so it

1 will be interesting to see how that works, and it
2 will be an interesting comparison.

3 MS. BUTLER: In order to get this off the
4 ground relatively rapidly, we have to have
5 hardcopy, and we are not equipped to handle
6 softcopy at the time. That is actually a long-term
7 goal for the College for all of our accreditation
8 programs to be able to do, take softcopy, but it is
9 not going to happen in the short run.

10 MS. HARVEY: Any other questions? Thank
11 you.

12 I think we will break. Please be back
13 about 3 o'clock.

14 [Recess.]

15 MS. HARVEY: Our next item on the agenda
16 is from Kaye Chesemore, FDA, who is going to talk
17 to us about States as Certification Agencies.

18 **States as Certification Agencies - Update**

19 **Kaye Chesemore, M.B.A.**

20 MS. CHESEMORE: Good afternoon.

21 Today, I will be talking about the States
22 as Certifiers program, and throughout the talk I
23 will often refer to it as SAC, which is an acronym,
24 SAC, for the States as Certifiers program.

25 Since many of you are new to NMQAAC, I

1 have been asked to provide you with a little bit of
2 background information about the program.

3 In August of 1998, FDA delegated the
4 responsibility for certification of facilities to
5 two States. Illinois and Iowa applied to the FDA
6 and were accepted into the SAC Demonstration
7 Project.

8 One thing I want to point out, a word of
9 caution, is not to confuse the SAC Demonstration
10 Project with the Inspection Demonstration Project
11 that was just discussed earlier by Dr. Barr.

12 The SAC Demonstration Project is beginning
13 its third year and will continue until the SAC
14 regulations are final, and barring any unforeseen
15 circumstances, we are hoping that they will be
16 published and in effect in 2002.

17 When the regulations are effective, we
18 will close the period for the Demonstration Project
19 and initiate the formal SAC program. We do
20 anticipate a seamless transition for the two states
21 who have been participating in the Demonstration
22 Project thus far.

23 We also anticipate that several other
24 States will apply to become SAC States at that
25 time.

1 Now, what does it actually mean to be a
2 SAC or a certifying state? The SAC program is
3 based on subsection Q of the Mammography Quality
4 Standards Act. That subsection permits FDA to
5 authorize a qualified state to do the following
6 within its boundaries: issue, renew, suspend, and
7 revoke certificates for mammography facilities;
8 conduct annual facility inspections, enforce the
9 MQSA quality standards, and administer other
10 related functions.

11 At the same time, FDA has made the
12 decision to retain authority over certain
13 inspection support services that it currently
14 provides, such as inspector training, the provision
15 of inspection of equipment including inspecting
16 laptops, equipment calibration, and data systems.

17 These responsibilities have been retained
18 by FDA in order to preserve a nationwide
19 consistency in inspector training and equipment
20 calibration, and to provide a national MQSA
21 database that can be accessed by all accreditation
22 bodies, as well as certification agencies.

23 FDA's oversight of the SAC program is
24 mandated by MQSA, and there are four ways that FDA
25 accomplishes this. The first is through the use of

1 FDA staff, who act as liaisons to each state. Now,
2 since we only have two in the demonstration program
3 so far, I am the liaison to both, both Iowa and
4 Illinois.

5 Secondly, indicators are used to measure
6 how the States are performing as certifying
7 agencies. The third, site visits to the States who
8 are used to review performance, and strengthen
9 cooperation between the participating States and
10 the FDA, and finally, through audits and other
11 means, we review inspector performance as part of
12 FDA's oversight.

13 Through the Demonstration Project, FDA has
14 provided feedback to the two participating States
15 in the form of quarterly and end-of-year summaries
16 to the two States participating.

17 Now, I would just like to say just a few
18 words about the performance indicators that we use
19 in these reports to report back to the States.

20 We first evaluate the State's technical
21 staffing and training to determine if the State is
22 adequately staffed to carry out certification
23 responsibilities. We evaluate this in the State's
24 initial application, and we follow it throughout
25 the program to make sure that training and staffing

1 are maintained in order to carry out the State's
2 responsibilities.

3 Likewise, we review the State's
4 information systems' capability, and their initial
5 application, and we follow that indicator to
6 determine if the State is continuing to transfer
7 files between the SAC State and the FDA on a timely
8 basis.

9 The third performance indicator evaluates
10 inspection and compliance activities. This
11 indicator records such information as the number
12 and the percentages of facilities within the State
13 that were inspected within the quarter. We also
14 record inspection actions for the States'
15 facilities.

16 At the end of the year, we look to see if
17 at least 90 percent of the fully certified
18 mammography facilities were inspected, and if any
19 inspection findings were resolved within the four
20 months, and if missed and deferred inspections were
21 rescheduled.

22 The fourth and last indicator, which
23 concerns the actual certification program,
24 evaluates the percentage of certificates that were
25 promptly issued within the required 10-day period

1 in a given calendar quarter.

2 In addition to the performance indicators
3 and the quarterly reports that I have just
4 discussed, our oversight of the project includes
5 site visits, and we anticipate that site visits
6 will occur annually.

7 To conclude, we are presently revising the
8 performance evaluation instrument, and these
9 performance indicators will be expanded or may be
10 expanded or revised throughout the program as it
11 grows and expands. We do look forward to other
12 States joining the SAC program in the future.

13 Thank you.

14 MS. HARVEY: Thank you. Any questions?

15 MS. RIGSBY: Is this a voluntary thing for
16 the facilities in those two States?

17 MS. CHESEMORE: No, it is not. They
18 automatically are certified by either the State of
19 Illinois or Iowa in this particular instance, and
20 any other SAC State that would come into being.

21 One thing I may tell you is that with SAC
22 States, they cannot go outside their State's
23 boundaries. With accreditation bodies, they don't
24 have that restriction although it hasn't occurred
25 yet.

1 MR. BAILEY: Presently, not all States are
2 using FDA laptops or necessarily FDA equipment.
3 Under certifying, I would assume that that would
4 continue to be an option.

5 DR. FINDER: If you have got very specific
6 questions about the program, I don't think this is
7 the forum to air it. You can just discuss it
8 amongst yourselves and get the details.

9 MS. HARVEY: Other questions, comments?
10 Then, let's go on to the next item, which
11 is the Future Direction of the MQSA Program.

12 **Future Direction of the MQSA Program**

13 MS. HARVEY: This is an opportunity for us
14 to look forward. We have talked today about the
15 many miles the mammography program has come, and I
16 can certainly speak for New York for some of the
17 early facilities 10 years ago when we would try to
18 put one of the old Kodak phantoms in the beam, and
19 not visualize anything, to this point in time where
20 we have such high expectations as to what these
21 images are going to look like, every single one of
22 them.

23 But then we say to ourselves we have come
24 this far, now, when we look forward, what do we
25 look forward to the evolution of this program over

1 time.

2 I mentioned earlier one of the issues in
3 particular that I am interested in, which is
4 perhaps a reduced period of time for the
5 inspection, but I am also interested in something
6 that we have tried to do, is that during the course
7 of the inspection, that the inspectors take a look
8 at the completed clinical images, not because we
9 are radiologists, not because we are rad techs, but
10 because once in a while there will be a facility in
11 which the image quality is unacceptable, and what
12 the inspector can find by looking at those images
13 is something that may have fallen through the
14 cracks, which is what we have seen.

15 The point would be to find the very--these
16 are some of the worse images you have ever seen as
17 an inspector, not that you are making a split
18 between good quality and better quality, but images
19 that really call out to have some form of review by
20 someone who would be in a better position than the
21 inspector to actually look at them.

22 So, I think it is one of the important
23 things for us to think about over time is how can
24 we incorporate more of a review on the inspector's
25 part while they are there at the facility.

1 I am happy to listen to your concerns or
2 thoughts about that.

3 MR. CAMBURN: In our State, and I don't
4 know how much this would be in the other States,
5 but in our State, none of our inspectors are x-ray
6 technologists. They are all people who have at
7 least a Bachelor's or Master's Degree with a major
8 in physics.

9 They right now would have no training or
10 no skill whatsoever in looking at clinical films
11 and judging whether they are good or bad. In fact,
12 sometimes we seem to struggle over interpreting
13 phantom films, and the way we do it, we have at
14 least three independent MQSA inspectors look at
15 every film we take. Then, a fourth person looks at
16 their scores and comes up with a consensus.
17 Sometimes we can't even agree very well among
18 ourselves on something as objective as a phantom
19 film.

20 I am not sure how successful we would be
21 trying to evaluate a clinical film.

22 MS. HARVEY: What I am not suggesting is
23 that you look at clinical films as though you were
24 a radiologist or a radiologic technologist, but for
25 serious problems in processing, developing, where

1 you find extreme artifacts, films that haven't been
2 properly cleared, films that the optical density is
3 either extremely high, extremely low, very gross
4 difficulties, very, very gross.

5 This is not looking at images to split
6 hairs between, well, you could have done this a
7 little better. That is not the point of this.
8 This is the goal. Our goal here is the best
9 quality we can get, and while we are not going to
10 be the judges from a radiographic, we can learn,
11 just like you can learn art criticism. You look at
12 a picture long enough, you will learn by doing what
13 is the extreme, and that is what I am looking for,
14 it is the extreme.

15 MR. CAMBURN: In these cases, these are
16 films that the mammographer would have looked at,
17 and the radiologist would have interpreted, and not
18 rejected?

19 MS. HARVEY: Right. So, we are only
20 looking at a very few facilities out of all the
21 10,000, but we certainly had a case in New York
22 where the end product films were, we believed, to
23 be below diagnostic quality.

24 MR. CAMBURN: Even though the radiologist
25 thought they were okay.

1 MS. HARVEY: Even though it was
2 MQSA-accredited, certified, and passed the
3 inspections.

4 DR. IKEDA: I have a concern about adding
5 another layer of inspection. We have just
6 discussed--you know, granted we want to catch bad
7 films or facilities that do not have good
8 diagnostic quality or something that may have
9 fallen through the cracks, but my concern here, as
10 we have already talked about, trying to limit the
11 number of items that we are going to be looking at
12 during the inspection.

13 My second concern has to do with
14 interpretation or intra-observer and inter-observer
15 variability. I know the ACR and FDA and the States
16 have gone through a rigorous process to do clinical
17 image evaluation, or maybe somebody from the ACR
18 can speak to this, but I know that they have
19 multiple training sessions.

20 As a facility director, we do a very
21 tedious and thorough job looking at our images. I
22 am concerned, I thought that most of the poor
23 images, I think would be caught by that particular
24 process, and like I said before, MQSA has done a
25 tremendous job in trying to weed out bad facilities

1 or, well, suboptimal facilities in this day of
2 political correctness, but facilities that were not
3 doing good images.

4 So, it was my thought that those images
5 would probably be caught by those particular
6 processes. My concern is to take this one step,
7 even though it is a good thought and it is a noble
8 aspiration, but to apply it to 10,000 facilities,
9 that is more money, more time, more burdensome of a
10 process.

11 I wonder, number one, what is going to be
12 the training of the inspectors who is going to be
13 the final judge, what is going to be the follow-up
14 for it, and how many are actually out there in
15 which this applies.

16 I know that there must be at least one,
17 because it has been your experience, but I just
18 want to raise my concern and say that I don't know,
19 and it is something I would like to think about.

20 MS. HARVEY: I would trade you some of the
21 other tests.

22 DR. IKEDA: That is something else we can
23 talk about.

24 MS. HARVEY: Because when I started way
25 back, my very first job when I graduated from

1 college was to work for a company that made
2 photographic film, and I worked in the Motion
3 Chemistry lab, and I spent that year during quality
4 control. So, when I went out to start doing my
5 first inspections, I immediately saw the films, and
6 this was back in the seventies--I am giving away
7 more data here than maybe I wanted to--and I was
8 appalled. I was appalled because of the quality I
9 saw, and this was just when the FDA was starting to
10 print all their huge quantity of wonderful books
11 about how to do quality control.

12 Well, I was there, I was ready, because I
13 was looking at films that were--my theory was any
14 film that is worth taking is worth taking well or
15 don't take it. So, I think to have a whole
16 program, such as we have, and not to have, when we
17 have an inspector in that facility every single
18 year, to not spend the five or 10 minutes to get a
19 feeling for what is happening at that period of
20 time in that facility, it is an opportunity that we
21 are not taking.

22 Like I say, I will trade you over tests,
23 because to me, that is one of the major things we
24 want there is quality images.

25 DR. PISANO I think that we actually are

1 getting the kind of information you are interested
2 in already at the inspections. I think the case
3 you cited is really quite exceptional because we
4 are doing--I mean the inspector is already shooting
5 a phantom, so we know how well the processor and
6 the machines are functioning that day. The
7 inspector is already checking a lot of other
8 parameters that are going to reflect image quality.

9 So, I agree with Debra Ikeda on what she
10 said about adding burden to both the facility and
11 the inspector. I am actually quite concerned about
12 the inspector's ability to do it, just as Dr.
13 Camburn mentioned a minute ago.

14 I am concerned about, you know, I was a
15 participant for many years in the ACR's film
16 inspection program. I was radiologist reviewer for
17 clinical images. I was impressed with how
18 frequently my partner and I, who were both trained
19 radiologists and who have been through the ACR's
20 program--the way it works is they send you cases,
21 and you review them blindly, you don't know what
22 the other person is going to say--and how
23 frequently we are given a report card by the ACR
24 what percent of the time we agreed with each other,
25 and how frequently we did not agree with each